

Expert Opinion

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Applications of ultrasonic skin permeation in transdermal drug delivery

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Transdermal ultrasound-mediated drug delivery has been studied as a method for needle-less, non-invasive drug administration. Potential obstacles include the *stratum corneum*, which is not sufficiently passively permeable to allow effective transfer of many medications into the bloodstream without active methods. A general review of the transdermal ultrasound drug delivery literature has shown that this technology offers promising potential for non-invasive drug administration. Included in this review are the reported acoustic parameters used for achieving delivery, along with the known intensities and exposure times. Ultrasound mechanisms are discussed as well as spatial field characteristics. Accurate and precise quantification of the acoustic field used in drug delivery experiments is essential to ensure safety versus efficacy and to avoid potentially harmful bioeffects.

Keywords: bioeffects, drug delivery, intensity, transdermal, ultrasound

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1. Introduction

Studies over many years have shown that ultrasound-mediated transdermal drug delivery offers a promising method for non-invasive transdermal drug administration [1-3]. Ultrasound is one of several needle-less methods currently under investigation or on the market [4-6]. In addition to ultrasound, other transdermal drug delivery methods include active transport mechanisms such as iontophoresis [7], electroporation [8] and microneedles [9]. The use of ultrasound can be classified as a physical penetration enhancement approach, along with electrical methods such as iontophoresis and electroporation. In comparison, chemical enhancers include the use of liposomes for crossing the *stratum corneum* and researchers have investigated combining these effective strategies for synergistic results [10]. Of the numerous methods that exist, one advantage of ultrasound is the accepted safety of ultrasound, based on careful research on bioeffects at diagnostic imaging energy levels [11,12]. As an extension of this concept, ultrasound heating in sports or physical therapy is commonly used in injury treatment. To the general public, other methods such as laser radiation, magnetophoresis and skin stretching, for example, can have an aura of mystery as to the specifics regarding the technology. Given this responsibility, it is essential that the physical nature of ultrasound used in drug delivery be scientifically well understood and characterized so as to ensure both safe and effective delivery.

Although they are not explored here, ultrasound-based methods are also being used for gene delivery or organ-specific drug delivery. For example, there exist many therapeutic drugs that can potentially treat several neurological disorders if they could cross the blood-brain barrier. Ultrasound has recently been shown to be effective for non-invasive, transient, blood-brain barrier disruption in the delivery of chemotherapy agents such as liposomal Doxorubicin and Herceptin [13].

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These studies also show the safe but effective nature of ultrasound, since the blood–brain barrier heals within a few hours post-exposure. In the use of ultrasound for transdermal delivery, it is similarly important to deliver drugs across the dermal barriers for drug delivery, but with minimal disruption to the tissue. This follows the radiological principle of ‘as low as reasonably achievable’ (ALARA) to minimize the dose while accepting some risk to achieve efficacy.

Readers are also directed to several recent, comprehensive publications for further commentary on transdermal drug delivery, including ultrasound-based methods [3,4,6,14]. This review differs from previous publications [15] in the additional information given regarding the energy levels used for ultrasound drug delivery. To this end, a case is presented for the need for accurate and precise methods for reporting repeatable energy levels used in the scientific literature with respect to ultrasound transdermal drug delivery and the safety of these methods.

2. Mechanism of ultrasound

Ultrasound refers to mechanical waves with frequencies above human hearing (> 20 kHz), and clinical ultrasound imaging specifically uses frequencies in the range of 1 – 15 MHz. Unlike X-rays, mechanical sound propagation requires a medium to support transmission. The medium, such as a fluid or solid, can be described as atoms or molecules which are bound by internal elastic forces. Ultrasound is a sinusoidal pressure wave which causes the molecules to become displaced from their equilibrium positions. A one-dimensional representation of this interaction can be used to simplify this description. Figure 1 shows one wavelength of a sinusoidal pressure wave propagation in the z-direction. The pressure oscillates between a maximum (compressional, P_c) and minimum (rarefactional, P_r) about the ambient pressure as it moves through the medium. Within the medium, molecules move closer together due to the compressional pressure and spread apart due to the rarefactional pressure. Wave propagation also depends on other parameters such as density, particle displacement, temperature, attenuation and other variables. While sound can travel through air, fluids and solids, this review will focus on fluids, since the body is mostly water-based tissue ($> 70\%$).

Cavitation describes the oscillation or rapid expansion and collapse of gaseous bubbles in response to an alternating pressure field. Cavitation types can be broken down into two non-exclusive categories. The first is stable, or non-inertial cavitation, where the cavity (or bubble) oscillates about its equilibrium radius in response to relatively low acoustic pressures [11]. The second is transient, or inertial cavitation, whereby the equilibrium size varies greatly within very few acoustic cycles. During inertial cavitation, the rapid, violent collapse of bubbles is associated with high acoustic pressures

and temperatures on the order of 1000 – 2000 K [16,17]. With inertial cavitation, short-lived fissures in the liquid are generated in response to high acoustic pressures and/or lower frequencies. The violent hydrodynamic forces due to a collapsing bubble can cause severe damage within biological media and free radicals can be produced by this phenomenon [18,19]. From previous research, the measured cavitation pressure amplitude in dog thigh muscle *in vivo* was found to depend linearly on frequency, with a slope of 5.3 MPa/MHz [20].

Compared to the kilohertz range, ultrasound in the megahertz range also produces cavitation, although much higher pressures are required to exceed the cavitation threshold. Above this threshold, cavitation has been shown to disrupt cells and damage tissue [21,22]. Mechanical bioeffects in tissues with gas bodies include lung hemorrhage in mice, rats, monkeys and pigs [23]. Cavitation also occurs at diagnostic ultrasound imaging power levels [24,25], which has motivated the introduction and continuous re-examination of the mechanical index to identify a threshold pressure for the onset of inertial cavitation [26,27]. Even in the absence of well-defined gas bodies, there exist non-thermal bioeffects due to ultrasound that are known to occur in the absence of excessive heating or evidence of cavitation bubbles. In this situation, the mechanism is in the form of radiation force, torque or acoustic streaming [28].

Determining the threshold and energy of a cavitation event is difficult in the best of circumstances [29,30]. Scientists try to follow three experimental rules with respect to cavitation: understand the liquid (including impurities), understand the sound field and know when a cavitation effect happens [29]. These cavitation study ‘golden rules’ are better stated as, ‘know thy liquid, know thy sound field and know when something happens’. The first rule refers to the cavitation threshold, while the second rule relates to accurate measurements of the acoustic field. The third relates to observable cavitation events or secondary related information. There are various reliable and scientifically established methods for quantifying an acoustic field [31], including passive detection methods for cavitation events. By sensing acoustic emissions generated by the collapsing bubble, passive cavitation detection identifies cavitation events and has previously been used with lithotripters at low frequencies [32,33] and high intensity focused ultrasound at 1.5 MHz [34]. Other methods include laser scattering methods based on the detection of scattered light reflected during the bubble’s lifetime [35], coupling the light of a laser diode into a light fiber to register the ultrasound-induced modification of the refractive index in a sample [36], or spectral analysis of cavitation noise from a heterodyne fiber-optic hydrophone [37].

In contrast to mechanical effects from an acoustic wave, thermal effects also occur in response to a wave propagating in tissue. Associated attenuation losses are due to absorption and scattering. The absorption mechanism consists of viscous

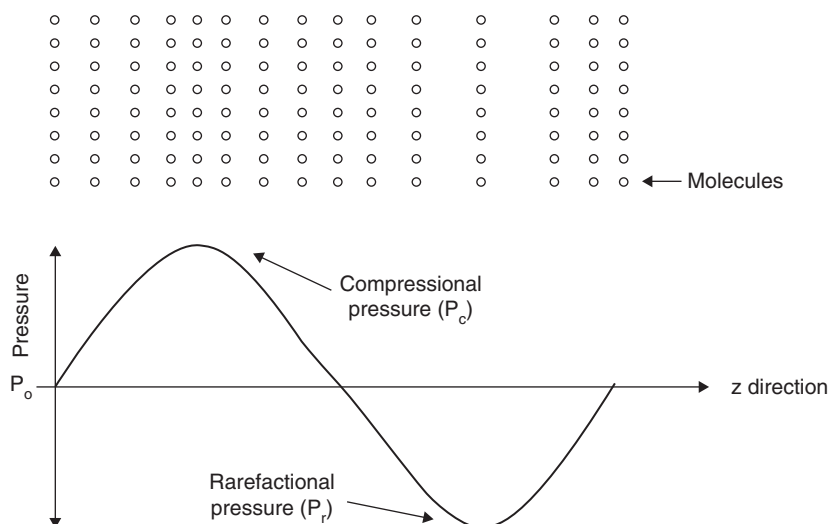


Figure 1. A linear, ultrasound wave is sinusoidal with an increased (compressional, P_c) and decreased (rarefactional, P_r) pressure field with respect to the ambient pressure (P_0). Molecules within the media are pushed closer or separated due to the compressional and rarefactional pressure.

losses, heat conduction and relaxation processes, while scattering occurs when acoustic energy is deflected or redirected from its normal propagation direction. Absorption mechanisms can be divided into three categories: viscous losses, heat or thermal conduction losses and losses due to molecular exchanges of energy. To appreciate viscous losses it must be recalled that sound waves in a medium cause expansions and contractions (Figure 1). Fluids exhibit resistance to the distortion or viscosity. Thus, the relative motion between adjacent parts of the medium caused by expansions and compressions due to a sound wave leads to a viscous loss or frictional loss. Thermal losses result from conduction of thermal energy between higher temperature compressions and lower temperature rarefactions. Taking into account both viscous and thermal conductivity losses of the media gives rise to a classical absorption coefficient [38]. However, the classical absorption coefficient does not account in full for values of the absorption coefficient, which are experimentally measured in fluids. Much of the excess absorption beyond the classical absorption is accounted for by three processes known as structural, thermal and chemical relaxation.

Disturbance to the structure of a fluid due to a propagating wave changes the equilibrium molecular structure. The energy going into rearranging the molecular structure, thereby causing the structure to be more closely packed, causes energy to be removed from the wave. Another mechanism for acoustic absorption can be predicted by taking into account the internal structure of the molecules and the interaction between the molecules that lead to internal vibrations, rotations and translations. Absorption arising from perturbations in molecular equilibrium due to temperature alterations in the compressional wave is

considered to be due to thermal relaxation mechanisms. Finally, the volume change which results from the perturbation of a chemical reaction from equilibrium by the pressure disturbance when an acoustic wave propagates through a liquid medium, is considered to be a chemical relaxation mechanism.

Understanding the acoustic field can be complicated since it depends on the frequency, shape and size of the transducer, along with the properties of the medium and a host of other variables that can lead to measurement variations [31]. A first order examination considers the acoustic field from a plane piston transducer with a radius a . The plane piston is very similar to the radiating source found on most ultrasound equipment used for tissue heating in sports therapy [39]. For a piston, certain on-axis pressure field characteristics are well known and can be used for characterizing acoustic fields. How quickly the wave travels through the material is given by $c = \lambda f$ where c is the speed of sound in the media (m/s), λ is the wavelength (m) and f is the frequency (s^{-1} or Hz). For water at 20°C, the speed of sound is 1481 m/s; thus for a 1 MHz ultrasound signal the wavelength would be 1.5 mm. Close to the face of the transducer the pressure field oscillates between a series of maximum values and nulls (Figure 2A). The final oscillation is known as the last axial maximum located at $\approx a^2/\lambda$. For a plane piston, this location also forms the boundary between the near field ('Fresnel zone') and the far field ('Fraunhofer zone') of the transducer [38]. Beyond the far field, the beam diverges at an angle of $\theta \equiv \sin^{-1}(0.61\lambda/a)$. Similar to a radiating radio antenna, the off-axis field pattern also has a series of much smaller pressure field lobes and nulls (Figure 2B). The first null between the main lobe and first side lobe is at $\theta \equiv \sin^{-1}(0.61\lambda/a)$. The exact radiation

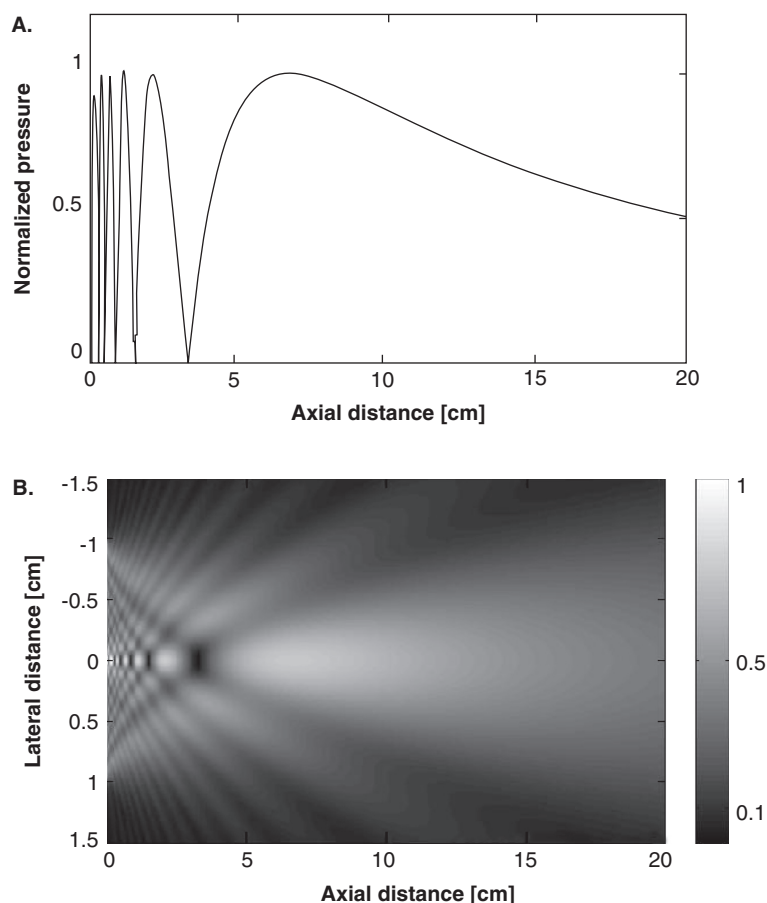


Figure 2. A. A plane piston transducer (located at an axial distance = 0 cm) operating at 1 MHz with a radius of 1 cm has a last axial maximum located at 6.7 cm from the face of the transducer. Close to the face of the transducer the pressure field oscillates between a series of absolute pressure maxima and nulls. **B.** For the same transducer, the calculated axial and lateral spatial pressure field is graphed showing the variable pressure field based on spatial location. The grayscale bar on the right indicates the normalized pressure.

or spatial intensity field from a circular piston is a complex integral requiring a computer to generate the three-dimensional pattern (Figure 2B).

Figure 2A and B show the respective on-axis and 2D normalized pressure fields for a plane piston 1 MHz transducer with a radius of 1 cm, which is similar to those on therapeutic ultrasound systems [39]. What both plots show is the high spatial variation of the acoustic field. At the most basic level, the intensity is the pressure squared divided by 2 times the characteristic impedance of the tissue. What has been recognized by the clinical diagnostics ultrasound community is that the intensity reported as such is not descriptive of the true acoustic field, given the spatial non-uniformity (Figure 2B) and temporal driving conditions (i.e., continuous wave versus pulsing).

For use in humans, diagnostic ultrasound devices are characterized by a range of descriptive intensity measures, including the spatial peak temporal peak (I_{sptp}), spatial peak temporal average (I_{spta}), spatial peak pulse average (I_{sppa}) and

spatial average temporal average (I_{sata}). As one example, the spatial peak temporal peak intensity describes the location of the maximum of the spatial intensity field regardless of the pulsing of the transducer. However, the other intensities are just as descriptive of the operation of the system and these calculations with measurement guidelines can be found [40]. A level of careful understating of the acoustic field leads to proper use and reduced risk [12].

3. Current transdermal applications

For transdermal drug delivery, the *stratum corneum* forms a barrier to drug diffusion. This *stratum corneum* barrier varies in thickness ($\approx 10 - 30 \mu\text{m}$) depending on the location [41], with the palm having one of the thickest layers ($> 150 \mu\text{m}$). In passive drug delivery across the *stratum corneum*, molecules with a weight of less than 500 Da are at the upper end of the transport boundary [42,43]. For larger molecules, the low permeability is attributed to this outermost skin layer, which

consists of a compact and organized structure of cells named keratinocytes surrounded by lipid bilayers. Once the drug has traversed the *stratum corneum*, the next layer is easier to cross and subsequently the drug can reach the capillary vessels to be absorbed [44].

Ultrasound-enhanced transdermal drug delivery offers advantages over traditional injection drug delivery methods, which are invasive and painful. From a clinical application viewpoint, there are relatively few drugs, proteins or peptides that are commonly administered transdermally using ultrasound technology, but there are many companies that are working to change this. From a research viewpoint, in contrast, the list of compounds that have been shown to transdermally cross skin via ultrasound is increasing. Table 1 presents an overview of the transdermal ultrasound-mediated drug delivery literature from the past several years with some commentary on notable contributions. This review endeavors to be different from previous reviews on this topic with the inclusion of the ultrasound intensity and exposure time used for the transdermal drug delivery. Additionally, the table lists the delivered compound or drug, its respective molecular weight (Daltons) and the experimental sample (animal) preparation, including whether the experiment was performed under *in vivo* or *in vitro* conditions. While the table endeavors to present accurate information regarding the experiments, some information was missing or difficult to interpret. Readers are therefore directed to the original publications to determine the exact experimental conditions.

Of major interest is the ability to translate this research to clinical practice and therefore the reported ultrasound frequency and device are listed. Ultrasound devices can be classified into different categories. Commercial-made include sonicators (≈ 20 kHz), ultrasound heating devices intended for therapy (> 1 MHz) and transducers, which are commercially purchased or fabricated in-house. Noteworthy differences between high (1 – 3 MHz) and low (≈ 20 kHz) frequency ultrasound appear to be that low frequency ultrasound enhances transdermal drug transport ≈ 1000 times more than high frequency ultrasound [45]. Additionally, a direct relationship between enhancement of transdermal transport and ultrasound frequency has been shown [46,47]. As shown in the table, effective delivery depends on acoustic parameters such as frequency, intensity and exposure time. Other operational conditions, for example continuous wave or pulsed wave with duty cycle (pulse duration/pulse repetition period) information, were not always presented. If specific intensity parameters were known (for example spatial peak temporal peak intensity, I_{sp}^{p}), then this was listed.

While a comment on each drug could be made, insulin deserves particular focus given the public impact. This is due to the ever-increasing number of people with diabetes in the USA and the need to develop needle-less methods for insulin delivery [48]. In the USA alone, approximately

24 million people or 8% of the population suffer from diabetes. From a human and economic perspective, diabetes is one of the most costly diseases. Management of diabetes often requires painful repetitive blood glucose tests and insulin injections up to three or four times each day. Between injections, blood sugar levels can fluctuate and remain out of balance until the next test or injection, increasing the risk of tissue or organ damage. Aversion to needles remains one of the most difficult challenges to maintaining tight glucose control.

Early research using ultrasound technology includes effective *in vivo* transport of insulin at 48 kHz using an ultrasonic bath [1], and at 105 kHz [49] using a commercially obtained transducer. Many early experiments were performed using either an ultrasound sonicator, ultrasonic bath or commercial transducer (Table 1). A major drawback so far in exploiting ultrasound for non-invasive drug delivery is the large size and poor mobility of the ultrasound devices. In general, commercial sonicators are large, heavy, table-top devices specifically designed for lysis of cells, catalyzing reactions, creating emulsions or cleaning. Yet, until a cure is found, needle-less alternatives to syringes and pumps is a medical need and a major portion of the healthcare market. In addition to ultrasound methods for insulin, other transdermal methods include iontophoresis [50], electroporation [51] and microneedles [52].

4. Consideration of bioeffects with transdermal delivery

A bioeffect is 'any biological change occurring as the result of an exposure, whether physiological or harmful' [53]. In response to ultrasound exposure, harm is 'an adverse outcome' and safety is 'freedom from unacceptable risk'. Using these definitions, the resulting outcome from the use of ultrasound for drug delivery (Table 1) is technically a bioeffect. Whether or not the resulting effect on skin can be classified as safe or harmful requires a closer examination of the experimental conditions. For example, subtle, non-obvious mechanical bioeffects from sonoporation have been shown in the literature [27]; these include free radical generation, erythrocyte agglutination, DNA strand breaks and sister chromatid exchange.

As many researchers are interested in effective transdermal drug delivery, many are also interested in the possible harmful bioeffects that can occur. Using 20 kHz ultrasound with hairless rats, erythema (redness due to capillary dilatation) was shown at an intensity exposure level of 2.5 W/cm^2 . Further inspection of the skin *in vivo* at 24 h post-exposure showed deep dermal and muscle necrosis [54]. Others have reported noticeable skin damage from ultrasound transdermal drug delivery experiments [55]. One group has examined the morphological changes induced with *in vitro* hairless mouse skin and human skin after ultrasound exposure from a transdermal drug delivery system. The skins

Table 1. Broad list of compounds that have been delivered transdermally, and the corresponding frequency, exposure time and intensity of the ultrasound used.

Compound and Ref.	MW	Preparation	Frequency	Time	Intensity	Device
Aldosterone [65]	832	<i>In vitro</i> human	20 kHz	24 h	125 mW/cm ²	Sonicator ¹
Benzene [44]	78	<i>In vitro</i> human	1 – 3 MHz	4 h	0 – 2 W/cm ²	Therapeutic US ⁵
Bicarbonate [66]	136	<i>In vivo</i> rat	20 kHz	15/5 min ^{1a}	1 W/cm ²	Sonicator ¹
Butanol [44]	74	<i>In vitro</i> human	1 – 3 MHz	24 h	125 mW/cm ²	Therapeutic US ⁵
Butanol [65]	74	<i>In vitro</i> human	20 kHz	4 h	0 – 2 W/cm ²	Sonicator ¹
Caffeine [44]	194	<i>In vitro</i> human	1 – 3 MHz	4 h	0 – 2 W/cm ²	Therapeutic US ⁵
Caffeine [67]	194	<i>In vitro</i> human	20 kHz	10 min CW 1 h pulsed	2.5 W/cm ²	Sonicator ⁷
Calcein [68]	623	<i>In vitro</i> rat	41, 158, 445 kHz	30 min	60 – 240 mW/cm ²	US transducer ¹³
Calcein [69]	623	<i>In vitro</i> cell membrane	20, 57, 76, 93 kHz	0 – 180 s	0 – 3 W/cm ²	US transducer ¹⁷
Calcein [70]	623	<i>In vitro</i> pig	20 kHz	2 h	15 W/cm ²	US transducer ²¹
Calcein [71]	623	<i>In vitro</i> pig	20 kHz	§	7.2 W/cm ²	Sonicator ¹
Calcein [72]	623	<i>In vitro</i> rat	41 kHz	§	60 – 300 mW/cm ²	US transducer ⁹
Calcium [66]	40	<i>In vivo</i> rat	20 kHz	15/5 min ^{1a}	1 W/cm ²	Sonicator ¹
Corticosterone [65]	346	<i>In vitro</i> human	1 MHz	24 h	1.4 W/cm ²	Therapeutic US ²
Corticosterone [44]	346	<i>In vitro</i> human	1 – 3 MHz	24 h	125 mW/cm ²	Therapeutic US ⁵
Corticosterone [65]	346	<i>In vitro</i> human	20 kHz	4 h	0 – 2 W/cm ²	Sonicator ¹
Dexamethasone [65]	392	<i>In vitro</i> human	1 MHz	24 h	1.4 W/cm ²	Therapeutic US ²
Dexamethasone [73]	392	<i>In vivo</i> human	1 MHz	10 min	1.0 W/cm ²	Therapeutic US ¹⁰
Dextran [66] [†]	2000	<i>In vivo</i> rat	20 kHz	15/5 min ^{1a}	1 W/cm ²	Sonicator ¹

NB: Apologies are offered for any omitted or misinterpreted information. Readers are directed to the original publication for the most comprehensive source regarding the experimental methods.

Compound legend

*Tracer.

[†]Extraction experiment; Fluorescein isothiocyanate (FITC, derivative of fluorescein)-labeled dextrans.[§]Details unclear or not indicated.**Time, intensity and device legend**

1. VCX 400, Sonics and Materials, Newtown, CT, USA; 1a. 15 min after 5 min; 1b. 15 min after 5 min; 2. Sonopuls 463, Henley International, Sugar Land, TX, USA; 3. Precision Acoustic Devices, Fremont, CA, USA and Panametrics, Waltham, MA, USA; 4. Leader Electronics Corporation, Yokohama, Japan; 5. Sonopuls 474, Henley International, Sugar Land, TX, USA; 6. W-385, Heat Systems Ultrasonics, Inc., Farmingdale, NY, USA; 7. Brand not indicated; 8. Cole Palmer Instrument, Chicago, IL, USA; 9. Transducer company not indicated; 10. Omnisound 3000, Accelerated Care Plus-Physio Technology, Topeka, KS, USA; 11. Sonics & Materials, Newtown, CT, USA; 12. ITO, Tokyo, Japan; 13. Dai-ichi High Frequency, Tokyo, Japan; 14. Model XL2020, Misonix, Farmingdale, NY, USA; 15. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 16. Noblelife™, Duplogen, Suwon, Korea; 17. Piezo Systems, Cambridge, MA, USA; 18. Cymbal, low profile ultrasound transducer, Penn State University, University Park, PA, USA; 19. Model S-110, Branson Instruments, Stamford, CT, USA; 20. Sofranel, Zurich, Switzerland; 21. VCX 400, Sonics and Materials, Danbury, CT, USA; 22. Sonopuls 590, Enraf-Nonius BV, AV Delft, The Netherlands; 23. Peterson® 250 Ultrasound Equipment Petas, Turkey; 24. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 25. SonoPrep, Sontra Medical, Cambridge, MA, USA.

CW: Continuous wave; I_{spip} : Spatial peak temporal average intensity; I_{spat} : Spatial peak pulse average intensity; I_{spat} : Spatial average temporal average intensity; US: Ultrasound.

Table 1. Broad list of compounds that have been delivered transdermally, and the corresponding frequency, exposure time and intensity of the ultrasound used (continued).

Compound and Ref.	MW	Preparation	Frequency	Time	Intensity	Device
Dextran [74]	70,000	<i>In vitro</i> pig	58 kHz	1 – 25 h	1.08 W/cm ²	US transducer ¹⁷
Diclofenac [75]	296	<i>In vivo</i> human	1 MHz	5 min	0.5 W/cm ² (<i>I_{sata}</i>)	Therapeutic US ²⁴
Diclofenac [76]	296	<i>In vivo</i> rat	1 MHz	5 min	0.5 W/cm ² (<i>I_{sata}</i>)	Therapeutic US ¹²
Erythropoietin [58]	48,000	<i>In vitro</i> human, <i>in vivo</i> rat	20 kHz	0.5, 1, 2 h	12.5 ~ 225 mW/cm ²	Sonicator ¹
Estradiol [65]	272	<i>In vitro</i> human	1 MHz	24 h	1.4 W/cm ²	Therapeutic US ²
Estradiol [44]	272	<i>In vitro</i> human	1 – 3 MHz	4 h	0 – 2 W/cm ²	Therapeutic US ⁵
Estradiol [45]	272	<i>In vitro</i> human	20 kHz	5 h	125 mW/cm ²	Sonicator ¹
FD-4 [72] [†]	4400	<i>In vitro</i> rat	41 kHz	§	60 – 300 mW/cm ²	US transducer ⁹
FD-40 [72] [†]	38,000	<i>In vitro</i> rat	41 kHz	§	60 – 300 mW/cm ²	US transducer ⁹
Fentanyl [67]	336	<i>In vitro</i> rat and human	20 kHz	10 min CW – 1 h pulsed	2.5 W/cm ²	§
Fluorescein [77]	389	<i>In vitro</i> human	20 kHz	1.5 – 30 min	8 – 24 mW/cm ² (<i>I_{spta}</i>)	Sonicator ¹⁴
Fluorescein probes Nile red [70]	535	<i>In vitro</i> pig	20 kHz	2 h	15 W/cm ²	US transducer ²¹
Glucose [66]	182	<i>In vivo</i> rat	20 kHz	15/5 min ^{1a} min	1 W/cm ²	Sonicator ¹
Glucose [78] [‡]	182	<i>In vitro</i> human	20 kHz	15/1 min ^{1b}	1 W/cm ²	Sonicator ¹
Glucose [47]	182	<i>in vitro</i> pig	10 MHz	2 h	0.2 – 2 W/cm ²	US transducer ²⁰
Glucose [47]	182	<i>in vitro</i> pig	20 kHz	2 h	10 – 70% of max	Sonicator ²¹
Hyaluronan [79]	1000	<i>In vivo</i> rabbit	1 MHz	10 min	400 mW/cm ²	Therapeutic ¹⁶
Hydrocortisone [80]	362	<i>In vivo</i> rat	1 MHz	5 min	0.5 W/cm ² (<i>I_{sata}</i>)	§
Ibuprofen [81]	206	<i>In vivo</i> human	1 MHz	5 min	1 W/cm ²	US transducer ²³

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Compound legend

*Tracer.

[†]Extraction experiment; Fluorescein isothiocyanate (FITC, derivative of fluorescein)-labeled dextrans.

[‡]Details unclear or not indicated.

Time, intensity and device legend

1. VXC 400, Sonics and Materials, Newtown, CT, USA; 1a. 15 min after 5 min; 1b. 15 min after 5 min; 2. Sonopuls 463, Henley International, Sugar Land, TX, USA; 3. Precision Acoustic Devices, Fremont, CA, USA and Panametrics, Waltham, MA, USA; 4. Leader Electronics Corporation, Yokohama, Japan; 5. Sonopuls 474, Henley International, Sugar Land, TX, USA; 6. W-385, Heat Systems Ultrasonics, Inc., Farmingdale, NY, USA; 7. Brand not indicated; 8. Cole Palmer Instrument, Chicago, IL, USA; 9. Transducer company not indicated; 10. Omnisound 3000, Accelerated Care Plus-Physio Technology, Topeka, KS, USA; 11. Sonics & Materials, Newtown, CT, USA; 12. ITO, Tokyo, Japan; 13. Dai-ichi High Frequency, Tokyo, Japan; 14. Model XL2020, Misonix, Farmingdale, NY, USA; 15. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 16. Nobellife™, Duplogen, Suwon, Korea; 17. Piezo Systems, Cambridge, MA, USA; 18. Cymbal, low profile ultrasound transducer, Penn State University, University Park, PA, USA; 19. Model S-110, Branson Instruments, Stamford, CT, USA; 20. Sofranel, Zurich, Switzerland; 21. VXC 400, Sonics and Materials, Danbury, CT, USA; 22. Sonopuls 590, Enraf-Nonius BV, AV Delft, The Netherlands; 23. Peterson® 250 Ultrasound Equipment Petas, Turkey; 24. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 25. SonoPrep, Sontra Medical, Cambridge, MA, USA.

CW: Continuous wave; *I_{spta}*: Spatial peak temporal average intensity; *I_{sata}*: Spatial peak pulse average intensity; *I_{spta}*: Spatial average temporal average intensity; US: Ultrasound.

Table 1. Broad list of compounds that have been delivered transdermally, and the corresponding frequency, exposure time and intensity of the ultrasound used (continued).

Compound and Ref.	MW	Preparation	Frequency	Time	Intensity	Device
Insulin [58]	5807	<i>In vitro</i> human, <i>in vivo</i> rat	20 kHz	0.5, 1, 2 h	12.5 ~ 225 mW/cm ²	Sonicator ¹
Insulin [82]	5807	<i>In vivo</i> rat	20 kHz	15, 60 min	2.5, 5, 10 W/cm ²	Sonicator ¹
Insulin [1]	5807	<i>In vivo</i> rat	48 kHz	90 min	0.61 ~ 4.32 mW/cm ²	LAG-26 ⁴
Insulin [49]	5807	<i>In vivo</i> rabbit	105 kHz	90 min	1.69 mW/cm ²	LAG-26 ⁴
Insulin [83]	5807	<i>In vitro</i> human	20 kHz	2, 5 h	0.1 ~ 1 W/cm ²	Sonicator ⁶
Insulin [84]	5807	<i>In vivo</i> rat	20 kHz	15, 60 min	2.5, 5, 10 W/cm ²	Sonicator ¹
Insulin (Humulin® and Humalog®) [85]	5807	<i>In vitro</i> human	20 kHz	1 h	173 mW/cm ² (<i>I</i> _{sptp})	US transducer ¹⁸
Insulin-Humulin® [86]	5807	<i>In vivo</i> rabbit	20 kHz	1 h	100 mW/cm ² (<i>I</i> _{sptp})	US transducer ¹⁸
Insulin-Humulin® [86]	5807	<i>In vivo</i> rabbit	20 kHz	1 h	100 mW/cm ² (<i>I</i> _{sptp})	US transducer ¹⁸
Insulin-Humulin® [62]	5807	<i>In vivo</i> pig	20 kHz	1 h	100 mW/cm ² (<i>I</i> _{sptp})	US transducer ¹⁸
Insulin-Humulin® [87]	5807	<i>In vivo</i> rabbit	20 kHz	1 h	50 mW/cm ² (<i>I</i> _{sptp})	US transducer ¹⁸
Insulin [88]	5000	<i>In vivo</i> rat	20 kHz	2 min	7 W/cm ² (<i>I</i> _{sapa})	Sonicator ¹
Insulin [74]	5000	<i>In vitro</i> pig	58 kHz	1 min	1.6 ~ 14 W/cm ²	US transducer ¹⁷
Ketoprofen [89]	254	<i>In vivo</i> human	1 MHz	5 min	1.5 W/cm ²	Sonicator ²²
Ketorolac-tromethamine [90]	376	<i>In vitro</i> rat	1 MHz	30 min	3 W/cm ²	Sonicator ⁷
Lanthanum hydroxide [91]*	189	<i>In vivo</i> guinea-pigs	2, 10, 16 MHz	5, 10 min	0.2 W/cm ²	Panametrics ³
Lidocaine [65]	234	<i>In vitro</i> human	1 MHz	24 h	1.4 W/cm ²	Therapeutic US ²
Lidocaine and prilocaine mix [92]	234/220	<i>In vivo</i> human	55 kHz	10 sec	§	SonoPrep ²⁵

NB: Apologies are offered for any omitted or misinterpreted information. Readers are directed to the original publication for the most comprehensive source regarding the experimental methods.

Compound legend

*Tracer.

†Extraction experiment; Fluorescein isothiocyanate (FITC, derivative of fluorescein)-labeled dextrans.

§Details unclear or not indicated.

Time, intensity and device legend

1. VCX 400, Sonics and Materials, Newtown, CT, USA; 1a. 15 min after 5 min; 1b. 15 min after 5 min; 2. Sonopuls 463, Henley International, Sugar Land, TX, USA; 3. Precision Acoustic Devices, Fremont, CA, USA and Panametrics, Waltham, MA, USA; 4. Leader Electronics Corporation, Yokohama, Japan; 5. Sonopuls 474, Henley International, Sugar Land, TX, USA; 6. W-385, Heat Systems Ultrasonics, Inc., Farmingdale, NY, USA; 7. Brand not indicated; 8. Cole Palmer Instrument, Chicago, IL, USA; 9. Transducer company not indicated; 10. Omnisound 3000, Accelerated Care Plus-Physio Technology, Topeka, KS, USA; 11. Sonics & Materials, Newtown, CT, USA; 12. ITO, Tokyo, Japan; 13. Dai-ichi High Frequency, Tokyo, Japan; 14. Model XL2020, Misonix, Farmingdale, NY, USA; 15. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 16. Noblelife™, Duplogen, Suwon, Korea; 17. Piezo Systems, Cambridge, MA, USA; 18. Cymbal, low profile ultrasound transducer, Penn State University, University Park, PA, USA; 19. Model S-110, Branson Instruments, Stamford, CT, USA; 20. Sofranel, Zurich, Switzerland; 21. VCX 400, Sonics and Materials, Danbury, CT, USA; 22. Sonopuls 590, Enraf-Nonius BV, AV Delft, The Netherlands; 23. Peterson® 250 Ultrasound Equipment Petas, Turkey; 24. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 25. SonoPrep, Sontra Medical, Cambridge, MA, USA.

CW: Continuous wave; *I*_{sptp}: Spatial peak temporal average intensity; *I*_{sapa}: Spatial peak pulse average intensity; *I*_{saa}: Spatial average temporal average intensity; US: Ultrasound.

Table 1. Broad list of compounds that have been delivered transdermally, and the corresponding frequency, exposure time and intensity of the ultrasound used (continued).

Compound and Ref.	MW	Preparation	Frequency	Time	Intensity	Device
Linoleic acid [65]	280	<i>In vitro</i> human	1 MHz	24 h	1.4 W/cm ²	Therapeutic US ²
Luteinizing hormone release hormone [74]	1311	<i>In vitro</i> pig	58 kHz	1 – 25 h	1.08 W/cm ²	US transducer ¹⁷
Mannitol [88]	183	<i>In vivo</i> rat	20 kHz	2 min	7 W/cm ² (<i>I_{sapa}</i>)	Sonicator ¹
Mannitol [93]	183	<i>In vitro</i> pig	20 kHz	15 – 60 min	1.6 ~ 14 W/cm ²	Sonicator ¹
Mannitol [94]	183	<i>In vitro</i> pig, <i>in vitro</i> human	20 kHz	Pig/human 20 h human 9 h	1.6 W/cm ²	Sonicator ¹
Mannitol [74]	182	<i>In vitro</i> pig	58 kHz	1 min	1.6 – 14 W/cm ²	US transducer ¹⁷
Mannitol [47]	183	<i>In vitro</i> pig	10 MHz	2 h	0.2 – 2 W/cm ²	US transducer ²⁰
Mannitol [47]	183	<i>In vitro</i> pig	20 kHz	2 h	10 – 70% of max	Sonicator ²¹
Methylpredni-solone/ciclosporin [95]	374	<i>In vivo</i> human	25 kHz	10 min	50 – 100 mW/cm ²	Sonicator ⁷
Octa l-lysine-fluorescein isothiocyanate [96]	2500	<i>In vitro</i> human	20 kHz	10 min	2 – 50 W/cm ² (<i>I_{sapa}</i>)	Sonifier ¹⁹
Poly l-lysine-fluorescein isothiocyanate [96]	51,000	<i>In vitro</i> human	20 kHz	15 min	19 W/cm ² (<i>I_{sapa}</i>)	Sonifier ¹⁹
Progesterone [44]	274	<i>In vitro</i> human	1 – 3 MHz	4 h	0 – 2 W/cm ²	Therapeutic US ⁵
Salicylic acid [65]	138	<i>In vitro</i> human	20 kHz	24 h	125 mW/cm ²	Sonicator ¹
Sodium lauryl sulfate [46]	288	<i>In vitro</i> pig	19.6, 36.9, 58.9, 76.6, 93.4 kHz	10 – 15 min	0.2 – 2.7 W/cm ²	US transducer ¹⁷
Sodium lauryl sulfate [97]	288	<i>In vitro</i> pig	20 kHz	3 min	2.4 W/cm ²	Sonicator ¹¹
Sucrose [65]	342	<i>In vitro</i> human	20 kHz	24 h	125 mW/cm ²	Sonicator ¹

NB: Apologies are offered for any omitted or misinterpreted information. Readers are directed to the original publication for the most comprehensive source regarding the experimental methods.

Compound legend

*Tracer.

[†]Extraction experiment; Fluorescein isothiocyanate (FITC, derivative of fluorescein)-labeled dextrans.

[‡]Details unclear or not indicated.

Time, intensity and device legend

1. VCX 400, Sonics and Materials, Newtown, CT, USA; 1a. 15 min after 5 min; 1b. 15 min after 5 min; 2. Sonopuls 463, Henley International, Sugar Land, TX, USA; 3. Precision Acoustic Devices, Fremont, CA, USA and Panametrics, Waltham, MA, USA; 4. Leader Electronics Corporation, Yokohama, Japan; 5. Sonopuls 474, Henley International, Sugar Land, TX, USA; 6. W-385, Heat Systems Ultrasonics, Inc., Farmingdale, NY, USA; 7. Brand not indicated; 8. Cole Palmer Instrument, Chicago, IL, USA; 9. Transducer company not indicated; 10. Omnisound 3000, Accelerated Care Plus-Physio Technology, Topeka, KS, USA; 11. Sonics & Materials, Newtown, CT, USA; 12. ITO, Tokyo, Japan; 13. Dai-ichi High Frequency, Tokyo, Japan; 14. Model XL2020, Misonix, Farmingdale, NY, USA; 15. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 16. Noblelife™, Duplogen, Suwon, Korea; 17. Piezo Systems, Cambridge, MA, USA; 18. Cymbal, low profile ultrasound transducer, Penn State University, University Park, PA, USA; 19. Model S-110, Branson Instruments, Stamford, CT, USA; 20. Sofranel, Zurich, Switzerland; 21. VCX 400, Sonics and Materials, Danbury, CT, USA; 22. Sonopuls 590, Enraf-Nonius BV, AV Delft, The Netherlands; 23. Peterson® 250 Ultrasound Equipment Petas, Turkey; 24. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 25. SonoPrep, Sontra Medical, Cambridge, MA, USA.

CW: Continuous wave; *I_{temp}*: Spatial peak temporal peak intensity; *I_{spat}*: Spatial peak pulse average intensity; *I_{spat}*: Spatial average temporal average intensity; US: Ultrasound.

Table 1. Broad list of compounds that have been delivered transdermally, and the corresponding frequency, exposure time and intensity of the ultrasound used (continued).

Compound and Ref.	MW	Preparation	Frequency	Time	Intensity	Device
Sucrose [94]	342	<i>In vitro</i> human <i>in vitro</i> pig	20 kHz	Pig/human 20 h human 9 h	1.6 W/cm ²	US transducer ¹
Testosterone [65]	288	<i>In vitro</i> human	1 MHz	24 h	1.4 W/cm ²	Therapeutic ²
Tetanus toxoid (TTx vaccine) [98]	150,000	<i>In vivo</i> mice	20 kHz	40 s increments	2.4 W/cm ²	600 W Sonicator ¹¹
Triamcinolone-Acetone [99]	434	<i>In vitro</i> mice	1, 3 MHz	10 h	1, 2.5 W/cm ²	Ultrasonducer ⁹
Urea [100]	60	<i>In vivo</i> rat	20 kHz	15 min after 5 min	1 W/cm ²	Sonicator ¹
Vasopressin [83]	1056	<i>In vitro</i> human	20 kHz	2 or 5 h	0.1 – 1 W/cm ²	Sonicator ⁶
Water [65]	18	<i>In vitro</i> human	20 kHz	24 h	125 mW/cm ²	Sonicator ¹

NB: Apologies are offered for any omitted or misinterpreted information. Readers are directed to the original publication for the most comprehensive source regarding the experimental methods.

Compound legend

*Tracer.

¹Extraction experiment; Fluorescein isothiocyanate (FITC, derivative of fluorescein)-labeled dextrans.

²Details unclear or not indicated.

Time, intensity and device legend

1. VXC 400, Sonics and Materials, Newtown, CT, USA; 1a. 15 min after 5 min; 1b. 15 min after 5 min; 2. Sonopuls 463, Henley International, Sugar Land, TX, USA; 3. Precision Acoustic Devices, Fremont, CA, USA and Panametrics, Waltham, MA, USA; 4. Leader Electronics Corporation, Yokohama, Japan; 5. Sonopuls 474, Henley International, Sugar Land, TX, USA; 6. W-385, Heat Systems Ultrasonics, Inc., Farmingdale, NY, USA; 7. Brand not indicated; 8. Cole Palmer Instrument, Chicago, IL, USA; 9. Transducer company not indicated; 10. Omnisound 3000, Accelerated Care Plus-Physio Technology, Topeka, KS, USA; 11. Sonics & Materials, Newtown, CT, USA; 12. ITO, Tokyo, Japan; 13. Dai-ichi High Frequency, Tokyo, Japan; 14. Model XL2020, Misonix, Farmingdale, NY, USA; 15. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 16. Nobellife™, Duplogen, Suwon, Korea; 17. Piezo Systems, Cambridge, MA, USA; 18. Cymbal, low profile ultrasound transducer, Penn State University, University Park, PA, USA; 19. Model S-110, Branson Instruments, Stamford, CT, USA; 20. Sofranel, Zurich, Switzerland; 21. VXC 400, Sonics and Materials, Danbury, CT, USA; 22. Sonoplus 590, Enraf-Nonius BV, AV Delft, The Netherlands; 23. Peterson® 250 Ultrasound Equipment Petas, Turkey; 24. Pro Seven 977 to 2000 model, Quark Productos Médicos, Piracicaba, São Paulo, Brazil; 25. SonoPrep, Sontra Medical, Cambridge, MA, USA.

CW: Continuous wave; I_{temp} : Spatial peak temporal peak intensity; I_{spat} : Spatial peak pulse average intensity; I_{spat} : Spatial peak pulse average intensity; I_{spat} : Spatial average temporal average intensity; US: Ultrasound.

were immersed in a commercial ultrasound water tank at 48 kHz and an intensity of 0.5 W/cm². Skins were compared to control skins under a scanning electron microscope and it was found that the cells of the *stratum corneum* of the mouse skin surface were almost completely removed. Also, in the mouse skin, large craterlike pores with a diameter of 100 microns were formed sporadically in some of the skin samples. However, in human skin the surface of skin exposed to ultrasound showed only slight removal of keratinocytes around the hair follicles [56]. While much of the drug delivery research looks at efficacy, bioeffects from the ultrasound deserve the same attention.

5. Conclusion

Research into ultrasound-based technology for transdermal drug delivery is growing. The most immediate need is probably for diabetes in either insulin delivery or glucose monitoring. While ultrasound-mediated insulin delivery and glucose sensing has been shown (Table 1), there is no guarantee that a sustainable market exists. Needle-less insulin was initially made available via an inhalable form using EXUBERA® (Pfizer, New York, NY, USA) in late 2006. However, side effects included decreased lung function and the delivery device was described as large and inconvenient. These are probably the main reasons as to why the device has been taken off the market [57]. Research is ongoing to develop a smaller inhaler device. Overall, the ultrasound drug delivery technology should look closely at this example regarding safety and device size.

Insofar as ultrasound is known to increase transdermal protein delivery [58], the mechanisms of this enhanced transport have not yet been fully characterized. One effect of ultrasound is to increase permeability of the outer skin layer (*stratum corneum*), which is considered to be a primary barrier to protein diffusion. However, depending on the distance from the transducer to the skin and the penetration depth, consequences of ultrasound administration could extend from the *stratum corneum* several millimeters into the underlying tissue.

The consequences of prolonged ultrasound administration to tissue below the *stratum corneum* can be viewed as either potentially beneficial or potentially harmful. With respect to the latter, many researchers suggest that further investigation is required, despite early preliminary indications that short-term, low-frequency ultrasound causes no histological abnormalities in the skin or underlying muscle. More researchers in the field are recognizing the need to understand the mechanism of ultrasound and the need for safety in its use for drug delivery [2,59].

6. Expert opinion on transdermal ultrasound drug delivery

Beyond the diagnostic imaging, researchers have harnessed the unique capabilities of ultrasound for controlled tissue

destruction in the form of high intensity focused ultrasound. Through careful research, clinical application of focused ultrasound has been used, for example, in the treatment of uterine fibroids with the ExAblate® 2000 System (GE Healthcare, Milwaukee, WI, USA and InSightec, Tirat Carmel, Israel). In conjunction with focused ultrasound, magnetic resonance imaging derived thermometry provides an accurate and non-invasive method to destroy diseased tissue while leaving healthy tissue intact.

In order to achieve the same utility as diagnostic ultrasound imaging and focused ultrasound surgery, the use of ultrasound for transdermal drug delivery must follow the same careful scientific methods to become an accepted clinical practice. However, for a number of the transdermal drug delivery experiments in Table 1, it is difficult to duplicate the acoustic conditions based on the information given. Although many of the papers report an ultrasound intensity, the drawback is that a value alone without any spatial or temporal information does not enable repeatable experimental procedures. Low frequency experiments confirm the acoustic spatial variability for effective delivery [60]. This spatial effect can also be seen in the computed pressure field in Figure 2B. A mere 2.75 cm lateral of the last axial maximum would reduce the pressure field by half or -3 dB. This might explain the wide range in reported intensities, which varies by orders of magnitude in Table 1 for the same drug. Additionally, while research often considers the intensity within a few millimeters from the transducer face or source, there is substantial acoustic energy transmitting several centimeters into the tissue, which can also contribute to bioeffects. Therefore, a limited understanding of the acoustic field can lead to a flawed description of the actual intensity. While the skin is permeabilized for drug delivery, there might also be deeper tissue damage or heating at a bone/muscle interface.

A previous opinion stated that 'small-sized low-frequency transducers need to be developed so that patients can wear them' [3]. While some researchers are working on these small, flat transducers, the technology is still in the research stage and is not yet a commercial product for drug delivery [61,62]. Some companies have developed larger ultrasound devices for effective pre-treatment and drug delivery such as the SonoPrep® (Sontra Medical, Cambridge, MA, USA). While the literature shows that ultrasound can deliver drugs like insulin across the skin, this is not yet sufficient to replace insulin needles, inject pens or pumps. Some diabetics require a large, quick dose (bolus) to counteract a glucose spike, which current research does not explore in detail. Raising the intensity to increase the dose might not be the best approach, given the potential for damage. One possibility might be to maintain a low intensity but increase the area of skin exposed to ultrasound for a larger dose delivery [63]. There is also the need for more longitudinal studies on animals, given the need for daily drug administration in humans.

Those who use ultrasound equipment for drug delivery should be concerned about bioeffects. Journals that publish research in this area should recruit reviewers with a detailed knowledge of acoustics and ultrasound. While the future for non-invasive drug delivery is encouraging, exploiting transdermal ultrasound drug delivery beyond the feasibility stage will require the cooperation of physicians and engineers. Physicians are needed to define dose guidelines (how often, how much) and engineers are required so that the technology is both safe and clinically practical. The diagnostic ultrasound community routinely assesses the risk–benefit of the current technology [12]. This does not represent a call for intensity limits (as with imaging ultrasound), but cautions that those who use ultrasound equipment should be concerned about bioeffects. This technology has the potential to be either as successful as the nicotine patch [4,64]

or fall by the wayside like EXUBERA® [57]. As with diagnostic ultrasound, the bioeffects and safety of each device need to be carefully evaluated, since it will not matter how much of any drug can be transported if the skin is irreversibly damaged.

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Declaration of interest

The author states no conflict of interest and has received no payment in preparation of this manuscript.

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